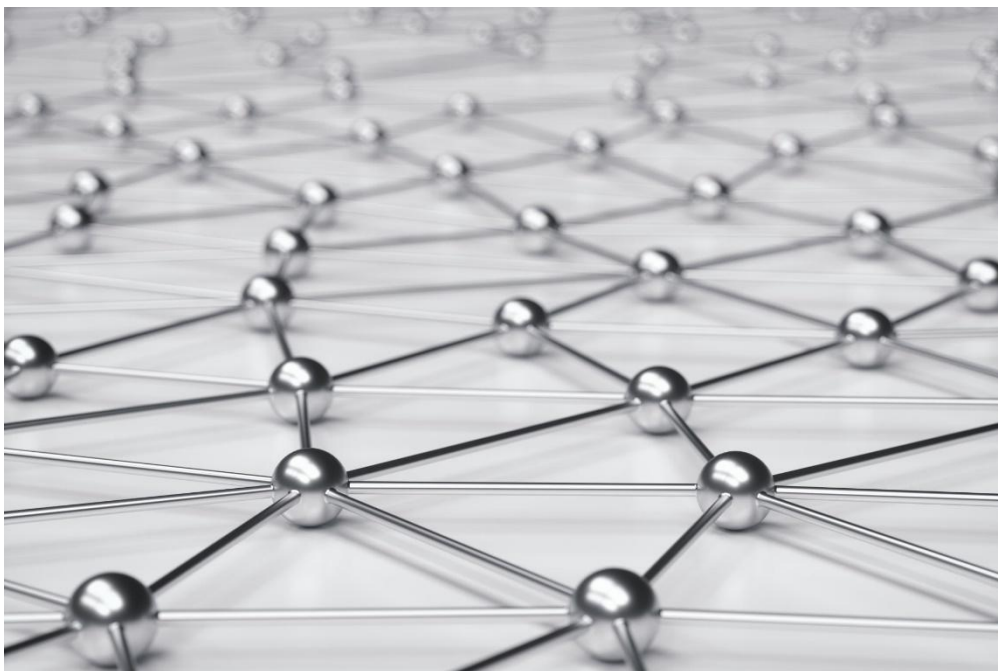




TRAINING MATERIALS for Responsible Open Science Part III: Natural Sciences

Authors: Signe Mežinska, Ivars Neiders

Reviewers:



This project has received funding from the European Union's Horizon 2020 research and innovation programme under GA No 101006430



Table of contents

Introduction	3
Unit 1. Ethical and societal foundations of OS, its purpose	5
Activity 1. Principles, values and benefits of OS, main challenges in OS implementation	5
Unit 2. Open science and data privacy in natural sciences	8
Activity 2. Data privacy and security in natural sciences	8
Unit 3. Ethical aspects of citizen science in the context of OS	11
Activity 3. Development of an ethically sound citizen science project	11
Unit 4. Protection of intellectual property in the context of OS	13
Activity 4. Authorship, contributorship and group coauthorship in citizen science.....	13
Unit 5. The quality of the research outputs and data sets	15
Activity 5. Responsibility of researchers and citizen scientists for quality of research data	15
Activity 5.1. Conflicts of interest in citizen science	18
Unit 6. Responsible sharing and reuse of natural science data	20
Activity 6. Concerns to share and reuse data	20
Activity 6.1. Case discussion on concerns to share the data.....	22
Unit 7. Prevention of research malpractice in the context of OS	24
Activity 7. Violations of research integrity in OS and their prevention	24
Activity 7.1. Violations of intellectual property rights.....	26
Unit 8. Responsible dissemination/publication practices	28
Activity 8. Open access publishing and predatory practices.....	28



Introduction

The aim of the ROSiE Training Materials for Responsible Open Science is to learn how to practice Open Science (OS) responsibly and how to prevent research misconduct in the context of OS by providing necessary knowledge and developing specific skills and attitudes.

In the ROSiE Didactic Framework we have identified the following skills and attitudes necessary for responsible practising of OS in four domains: (i) local and global citizenship, (ii) personal and social responsibility, (iii) epistemic skills, and (iv) collaborative problem-solving.

	Local and global citizenship <ul style="list-style-type: none">- awareness of the importance and social benefits of OS and citizen science in local and global contexts- participation in ethics and integrity self-regulation of OS and citizen science community
	Personal and social responsibility <ul style="list-style-type: none">- personal and professional responsibility for implementation of OS and production of results- openness to share own research data, results, tools and publications and appreciation of efforts of others
	Epistemic skills <ul style="list-style-type: none">- ability to organize, present and use open data and knowledge with integrity- ability to critically assess data, knowledge and scientific results produced by others- ability to identify ethical and integrity issues in OS
	Collaborative problem-solving <ul style="list-style-type: none">- ability to apply critical thinking skills in collaborative analysis of ethical and integrity problems in OS- discussing, finding solutions and making decisions to handle ethics and integrity issues within OS community



Training Materials for Responsible Open Science

To achieve optimal results, the ROSiE training materials rely on several learning and teaching strategies: (i) collaborative problem solving; (ii) case-based activities; (iii) dialogical activities; (iv) transformative learning. More information about these teaching strategies you can find in the ROSiE Didactic Framework.

The training material consists of a trainers' file including 8 units and respective activities, as well as a separate folder including materials for trainees – required readings, handouts and printouts. The activities can be implemented separately (e.g., for organising a single workshop to discuss cases) or for organising a complete two-days training course. The suggested schedule for the training course is as follows:

Time	DAY 1	Type of activity
90 min.	Unit 1. Ethical and societal foundations of OS, its purpose	Home readings and Socratic seminar
15 min.	Break	
90 min.	Unit 2. Open science and data privacy in natural sciences	Case discussion
60 min.	Lunch break	
90 min.	Unit 3. Ethical aspects of citizen science in the context of OS	Home readings and group project
15 min.	Break	
90 min.	Unit 4. Protection of intellectual property in the context of OS	Case discussion
Time	DAY 2	Type of activity
90 min.	Unit 5. The quality of the research outputs and data sets	Home readings and case discussion OR Case discussion
15 min.	Break	
90 min.	Unit 6. Responsible sharing and reuse of open natural science data	Brainstorming and group work OR Case discussion
60 min.	Lunch break	
90 min.	Unit 7. Prevention of research malpractices in the context of OS	Group work and plenary activity OR Case discussion
15 min.	Break	
90 min.	Unit 8. Responsible dissemination/publication practices	Case discussion (Four Quadrant Method)



Unit 1. Ethical and societal foundations of OS, its purpose

Activity 1. Principles, values and benefits of OS, main challenges in OS implementation

DESCRIPTION


This activity starts with homework where trainees are asked to read [UNESCO Recommendation on Open Science](#) and fill in the double-entry reading journal. The purpose of the reading journal is to give trainees an opportunity to express their thoughts and reflect on the text. It is followed by classroom discussion in a form of Socratic seminar on principles and values of OS, as well as main benefits and challenges in OS implementation.

Type of activity: home reading and Socratic seminar

Time: 90 min.

Target groups: students, early career researchers, senior researchers

Learning outcomes:

	Learning outcomes <i>It is expected that trainees will:</i>	Indicators for their achievement <i>Trainees who have fully met the learning outcome are able to:</i>
	- demonstrate knowledge of ethical foundations of OS	- explain and discuss principles and values of OS, its ethical foundations, and social benefits
	- understand the significance of OS and citizen science for identifying and solving scientific problems and societal challenges	- provide examples for role of OS and citizen science in identifying and solving scientific problems and societal challenges

PROCEDURE

1. At least a week before the workshop send trainees the required readings ([UNESCO Recommendation on Open Science](#), file “NS_U1A1 Readings UNESCO Recommendation”) and the handout (file “NS_U1A1 Handout”).
2. Before the workshop trainees are required to read the parts I., II. and III. of the [UNESCO Recommendation on Open Science](#) (pp. 6-19).

3. Before the workshop trainees should fill in the double-entry reading journal table in the handout. The left side should contain quotations from the UNESCO Recommendation on Open Science with page numbers noted. The right side should contain trainee's response to each quotation (a question, commentary, analysis). When filling in the table, trainees may use the following prompts, included in the handout:
 - *I agree/disagree with..., because...*
 - *It is not clear for me...*
 - *I see the following challenges...*
 - *I have a question regarding...*
 - ...
4. The classroom discussion is organized as a Socratic seminar. The aim of the Socratic seminar is to achieve "*a deeper understanding about the ideas and values in a particular text*"¹. The trainer is facilitator of the discussion, the discussion is led by using open-ended, high-level questions. Trainees are sitting in a circle.
5. The Socratic Seminar starts with introduction of the rules:
 - Only those trainees who have read the text and filled in the double-entry reading journal are allowed to participate;
 - It is important to focus on the text and to refer to evidence from the text;
 - Trainees are encouraged to talk to each other, not just to the trainer and to listen and respond to others' arguments.
6. Common questions used during a Socratic Seminar activity both by trainer and trainees include:
 - *What does this concept/idea/phrase etc. mean?*
 - *What do you think the authors are trying to say?*
 - *Is this what you mean to say...?*
 - *What is the origin of this?*
 - *What are the implications of this?*
 - *What else could that mean?*
 - *What would happen if....?*
7. This [overview of Socratic seminar](#) provides a list of suitable questions and more information about how to prepare for a discussion.

PLANNING

Resources and equipment:

- Handout "NS_U1A1 Handout"
- Required readings "NS_U1A1 Readings UNESCO Recommendation"

¹ Castellanos-Reyes, D. Socratic Seminar. Available at:
https://edtechbooks.org/studentguide/socratic_seminar



- Make space for the trainees to sit in a circle

FURTHER READINGS

1. Düwell, M. (2019). Open science and ethics. *Ethical Theory and Moral Practice*, 22(5), 1051-1053. <https://link.springer.com/article/10.1007/s10677-019-10053-3>
2. Tennant, J. P., Waldner, F., Jacques, D. C., Masuzzo, P., Collister, L. B., & Hartgerink, C. H. (2016). The academic, economic and societal impacts of Open Access: an evidence-based review. *F1000Research*, 5. <https://f1000research.com/articles/5-632/v3>



Unit 2. Open science and data privacy in natural sciences

Activity 2. Data privacy and security in natural sciences



DESCRIPTION

This activity is built around case discussion. Trainees are asked to discuss in small groups cases on ethical issues in gathering, open sharing and reuse of sensitive data in natural sciences. Afterwards, small groups report to the whole group and continue with a reflective discussion involving the whole group.

Type of activity: case discussion

Target group: students, early career researchers, senior researchers

Learning outcomes:

	Learning outcomes <i>It is expected that trainees will:</i>	Indicators for their achievement <i>Trainees who have fully met the learning outcome are able to:</i>
	<ul style="list-style-type: none"> - describe the risks to research participants, environment, plants, animals, and ecosystems in the context of OS 	<ul style="list-style-type: none"> - discuss how to minimize risks to research participants, environment, plants, animals, and ecosystems when practicing OS
	<ul style="list-style-type: none"> - apply critical thinking skills - questioning, comparing, summarizing, drawing conclusions, and defending - to case studies on ethics and integrity in OS 	<ul style="list-style-type: none"> - develop reflective questions to define ethical problems in the case study - discuss cases with colleagues - justify a personal position on the case

PROCEDURE

1. Depending on the size of the group and background of the trainees choose how many cases to discuss during the workshop. There are two cases included in the file "NS_U2A2 Handout".
2. Introduce the activity, its aim and, briefly, the procedure.
3. Print out case description(s) and questions for discussion for each trainee (file "NS_U2A2 Handout").

4. Ask trainees to split in small groups (4-5 trainees in a group) and to choose a rapporteur - a group member who will report results of the small group discussion to the whole group. Provide each group with a paper for taking notes.
5. **Step 1:** small group discussions – **30 minutes**. Trainees read the case description and discuss the questions in small groups. Each group takes notes. Rapporteurs prepare to present the results to the whole group.
6. **Step 2:** reports from small group discussions – **40 minutes**. Depending on the number of the small groups, allocate a time slot for each group presentation (e.g., if there are 4 small groups, each group have 10 minutes for a presentation). Rapporteurs present the results of their group discussions.
7. **Step 3:** group discussion – **20 minutes**. The trainer moderates a reflective group discussion. The trainer writes the solutions suggested during the discussion on the whiteboard and summarises them. Sample questions for reflective discussion are, e.g.:
 - *Which types of data are potentially sensitive in natural sciences?*
 - *How sharing these types of data might violate the privacy and security of individuals or communities?*
 - *Do you agree with the authors' statement in one of the cases that: "Natural scientists have little guidance to deal with privacy concerns for open science, which are inherent in socio-environmental research"?*
 - *What should the scientists do to protect data privacy and security? What support they do need?*

PLANNING

Resources and equipment:

- Handout "NS_U2A2 Handout"
- Paper for taking notes during small group discussions
- Whiteboard for discussion notes
- Make space for the trainees to work in small groups

FURTHER READINGS

1. Zipper, S. C., Stack Whitney, K., Deines, J. M., Befus, K. M., Bhatia, U., Albers, S. J., ... & Schlager, E. (2019). Balancing open science and data privacy in the water sciences. *Water Resources Research*, 55(7), 5202-5211.
<https://doi.org/10.1029/2019WR025080>



2. Richardson, D. B., Kwan, M. P., Alter, G., & McKendry, J. E. (2015). Replication of scientific research: addressing geoprivacy, confidentiality, and data sharing challenges in geospatial research. *Annals of GIS*, 21(2), 101-110. <https://doi.org/10.1080/19475683.2015.1027792>
3. Blatt, A. J. (2015). The benefits and risks of volunteered geographic information. *Journal of Map & Geography Libraries*, 11(1), 99-104. <https://doi.org/10.1080/15420353.2015.1009609>



Unit 3. Ethical aspects of citizen science in the context of OS

Activity 3. Development of an ethically sound citizen science project


DESCRIPTION

This activity involves home reading before the classroom activity, to introduce the concept of citizen science in the context of natural sciences. It is followed by group project onsite where trainees are asked to develop their own citizen science projects and analyse ethical aspects of these projects.

Type of activity: home reading and group project

Target group: students, early career researchers, senior researchers

Learning outcomes:

	Learning outcomes <i>It is expected that trainees will:</i>	Indicators for their achievement <i>Trainees who have fully met the learning outcome are able to:</i>
	- understand the significance of citizen science for identifying and solving scientific problems and societal challenges	- provide examples for role of citizen science in identifying and solving scientific problems and societal challenges

PROCEDURE

1. At least a week before the workshop send trainees the required readings (file "NS_U3A3 Readings Stratilová et al 2019"):

Stratilová Urváková, E. S., & Janoušková, S. (2019). Citizen science–bridging the gap between scientists and amateurs. *Chemistry Teacher International*, 1(2). <https://doi.org/10.1515/cti-2018-0032>
2. During the workshop, introduce the group activity, its aim and, briefly, the procedure.
3. Ask trainees to split in three groups. The group task is to develop an idea for a citizen science project in natural sciences, by using definitions and examples

Training Materials for Responsible Open Science

provided in the required readings. For taking notes print one copy of “NS_U3A3 Handout” for each group.

4. **Step 1** development of the project idea – **30 minutes**. Each group should discuss and fill in the table 1 in the “NS_U3A3 Handout”.
5. **Step 2** reflection on ethical aspects of the project – **30 minutes**. Each group should discuss and fill in the table 2 in the “NS_U3A3 Handout”.
6. **Step 3** presentation of group projects and general discussion – **30 minutes**. Sample questions for reflective discussion are, e.g.:
 - *What does citizen science add to the field of natural sciences?*
 - *What are the main ethical challenges and their solutions in citizen science projects in natural sciences?*

PLANNING

Resources and equipment:

- Readings “NS_U3A3 Readings Stratilová etal 2019”
- Handout “NS_U3A3 Handout”
- Make space for the trainees to work in small groups

FURTHER READINGS

1. Balázs, B., Mooney, P., Nováková, E., Bastin, L., Jokar Arsanjani, J. (2021). Data Quality in Citizen Science. In: The Science of Citizen Science. Springer
https://doi.org/10.1007/978-3-030-58278-4_8



Unit 4. Protection of intellectual property in the context of OS

Activity 4. Authorship, contributorship and group coauthorship in citizen science




DESCRIPTION

This activity is built around case discussion and involves evaluating pro and contra arguments for different types of acknowledging citizen scientist contributions to research. Trainees are asked to discuss the case in small groups, develop and discuss their arguments. Afterwards, small groups report to the whole group and continue with a reflective discussion involving the whole group.

Type of activity: case discussion

Target group: students, early career researchers, senior researchers

Learning outcomes:

	Learning outcomes <i>It is expected that trainees will:</i>	Indicators for their achievement <i>Trainees who have fully met the learning outcome are able to:</i>
	- be aware of protection of intellectual property in OS	- acknowledge authors and contributors of open data sets and other research outputs
	- be aware of citizen scientists' right to be recognised and acknowledged by academic scientists and society	- discuss and assert their right to be recognized and acknowledged by academic scientists and society
	- apply critical thinking skills - questioning, comparing, summarizing, drawing conclusions, and defending - to case studies on ethics and integrity in OS	- develop reflective questions to define ethical problems in the case study - discuss cases with colleagues - justify a personal position on the case

PROCEDURE

1. Introduce the activity, its aim and, briefly, the procedure.

Training Materials for Responsible Open Science

2. Ask trainees to split in small groups (3-4 trainees in a group) and to choose a rapporteur - a group member who will report results of the small group discussion to the whole group.
3. Print out case description and questions for discussion for each trainee (file "NS_U4A4 Handout").
4. **Step 1:** small group discussions – **30 minutes**. Trainees read the case description and discuss the questions in small groups. Each group fills in the table included in the handout with pro and contra arguments. Rapporteurs prepare to present the results to the whole group.
5. **Step 2:** short reports from small group discussions – **20 minutes**. Rapporteurs present the results of their group discussions - pro and contra arguments for each type of acknowledging the contribution of citizen scientists in this case.
6. **Step 3:** group discussion – **40 minutes**. The trainer moderates a reflective group discussion. Sample questions for reflective discussion are, e.g.:
 - *Based on the pro and contra arguments developed during the group work, what is the best solution for this case?*
 - *Do you have other suggestions for recognizing the contribution of citizen scientists in scientific publications?*

PLANNING

Resources and equipment:

- Handout "NS_U4A4 Handout"
- Make space for the trainees to work in small groups

FURTHER READINGS

1. International Committee of Medical Journal Editors. Defining the role of authors and contributors. <https://bit.ly/N7uoq3>
2. Vasilevsky, N. A. et al. (2021). Is authorship sufficient for today's collaborative research? A call for contributor roles. *Accountability in Research*, 28(1), 23-43. doi: [10.1080/08989621.2020.1779591](https://doi.org/10.1080/08989621.2020.1779591)



Unit 5. The quality of the research outputs and data sets

Activity 5. Responsibility of researchers and citizen scientists for quality of research data

DESCRIPTION



This activity starts with homework where trainees are asked to read a paper on data quality in citizen science and create a mind map. The purpose of the mind map is to build a background knowledge for case discussion. It is followed by case discussion and development of guidelines for ensuring quality of citizen sciences data in natural sciences.

Type of activity: home readings and case discussion

Time: 90 min.

Target group: students, early career researchers

Learning outcomes:

	Learning outcomes <i>It is expected that trainees will:</i>	Indicators for their achievement <i>Trainees who have fully met the learning outcome are able to:</i>
	<ul style="list-style-type: none"> - be aware of importance of the quality of data sets and research outputs in OS and their responsible use 	<ul style="list-style-type: none"> - explain how to responsibly and critically assess and use open data and research outputs
	<ul style="list-style-type: none"> - apply critical thinking skills - questioning, comparing, summarizing, drawing conclusions, and defending - to case studies on ethics and integrity in OS 	<ul style="list-style-type: none"> - develop reflective questions to define ethical problems in the case study - discuss cases with colleagues - justify a personal position on the case

PROCEDURE

1. At least a week before the workshop send trainees the required readings (file "NS_U5A5 Readings Balazs etal 2021") and the handout for creating a mind map (file "NS_U5A5_1 Handout").
2. Before the workshop trainees are required to read the required readings "NS_U5A5 Readings Balazs etal 2021"

Balázs, B., Mooney, P., Nováková, E., Bastin, L., Jokar Arsanjani, J. (2021). Data Quality in Citizen Science. In: The Science of Citizen Science. Springer https://doi.org/10.1007/978-3-030-58278-4_8.

3. Before the workshop trainees should create a mind map on quality of data in citizen science, based on the required readings. Instructions for creating a mind map are included in the handout “NS_U5A5_1 Handout”.
4. In the classroom, introduce the activity, its aim and, briefly, the procedure.
5. Ask trainees to split in small groups (4-6 trainees in a group) and to choose a rapporteur - a group member who will report results of the small group discussion to the whole group.
6. Print out the case description (file “NS_U5A5_2 Handout”) for each trainee
7. **Step 1:** small group discussions – **40 minutes**. Trainees read the case description, discuss the challenges, use the ideas from required readings and develop recommendations. Each group fills in a table with challenges and recommendations. The table is included in the “NS_U5A5_2 Handout”. Rapporteurs prepare to present the results to the whole group.
8. **Step 2:** reports from small group discussions – **30 minutes**. Depending on the number of the small groups, allocate a time slot for each group presentation (e.g., if there are 3 small groups, each group have 10 minutes for a presentation). Rapporteurs present the results of their group discussions.
9. **Step 3:** group discussion – **20 minutes**. The trainer moderates a reflective group discussion. Sample questions for reflective discussion are, e.g.:
 - *Which ideas from the required readings helped you to develop recommendations? How?*
 - *Which of the recommendations developed during the groupwork are the most useful? Why?*
 - *In your view, what are other considerable ethical challenges for scientists collaborating with citizen scientists? How to address these challenges?*

PLANNING

Resources and equipment:

- Required readings “NS_U5A5 Readings Balazs etal 2021”
- Handout “NS_U5A5_1 Handout” for home reading and creating a mind map
- Handout “NS_U5A5_2 Handout” for case discussion
- Make space for the trainees to work in small groups



FURTHER READINGS

- 1. Herodotou, C., Scanlon, E., & Sharples, M. (2021). Methods of promoting learning and data quality in citizen and Community Science. *Frontiers in Climate*, 53. <https://doi.org/10.3389/fclim.2021.614567>
- 2. Haklay, M. (2021). Why is it so difficult to integrate citizen science into practice? *Citizen Science and Public Policy Making*, 108. <https://discovery.ucl.ac.uk/id/eprint/10130136>



Activity 5.1. Conflicts of interest in citizen science



DESCRIPTION

This activity is built around case discussion. Trainees are asked to discuss in small groups a case on risk conflicts of interest in citizen science. Afterwards, small groups report to the whole group and continue with a reflective discussion involving the whole group.

Type of activity: case discussion

Target group: students, early career researchers, senior researchers

Learning outcomes:

	Learning outcomes <i>It is expected that trainees will:</i>	Indicators for their achievement <i>Trainees who have fully met the learning outcome are able to:</i>
	<ul style="list-style-type: none"> - understand the concept of conflict of interest and how to deal with it 	<ul style="list-style-type: none"> - recognize and disclose conflicts of interest in cases when citizen scientists have personal or political interests at stake
	<ul style="list-style-type: none"> - apply critical thinking skills - questioning, comparing, summarizing, drawing conclusions, and defending - to case studies on ethics and integrity in OS 	<ul style="list-style-type: none"> - develop reflective questions to define ethical problems in the case study - discuss cases with colleagues - justify a personal position on the case

PROCEDURE

1. Print out the case description and questions for discussion for each trainee (file "NS_U5A5.1 Handout").
2. Introduce the activity, its aim and, briefly, the procedure.
3. Ask trainees to split in small groups (4-5 trainees in a group) and to choose a rapporteur - a group member who will report results of the small group discussion to the whole group. Provide each group with a paper for taking notes.
4. **Step 1:** small group discussions – **30 minutes**. Trainees read the case description and discuss the questions in small groups. Each group takes notes. Rapporteurs prepare to present the results to the whole group.
5. **Step 2:** reports from small group discussions – **30 minutes**. Depending on the number of the small groups, allocate a time slot for each group presentation (e.g.,

Training Materials for Responsible Open Science

if there are 4 small groups, each group have 10 minutes for a presentation).
Rapporteurs present the results of their group discussions.

6. **Step 3:** group discussion – **30 minutes**. The trainer moderates a reflective group discussion. The trainer writes the solutions suggested during the discussion on the whiteboard and summarises them. Sample questions for reflective discussion are, e.g.:

- *What is your personal experience with conflicts of interest in research?*
- *What types of conflicts of interests should be disclosed? Is there a consensus on that in your field of science?*
- *Do potential conflicts of interest in citizen science differ from potential conflicts of interest in science in general? If yes, what is the difference?*
- *How to deal with conflicts of interest in cases where they are discovered after the publication of a research study?*

PLANNING

Resources and equipment:

- Handout “NS_U5A5.1 Handout”
- Paper for taking notes during small group discussions
- Whiteboard for discussion notes
- Make space for the trainees to work in small groups

FURTHER READINGS

- Resnik, D. B., Elliott, K. C., & Miller, A. K. (2015). A framework for addressing ethical issues in citizen science. *Environmental Science & Policy*, 54, 475-481. <https://doi.org/10.1016/j.envsci.2015.05.008>



Unit 6. Responsible sharing and reuse of natural science data

Activity 6. Concerns to share and reuse data

DESCRIPTION


This activity starts with brainstorming where trainees are asked to share their views on sharing and reusing research data. It is followed by group discussion on concerns to share and reuse data, as well as possible solutions.

Type of activity: brainstorming and group discussion

Time: 90 min.

Target group: students, early career researchers

Learning outcomes:

	Learning outcomes <i>It is expected that trainees will:</i>	Indicators for their achievement <i>Trainees who have fully met the learning outcome are able to:</i>
	- be aware about factors influencing willingness to share and use open research data	- discuss how to increase willingness to share and use open research data

PROCEDURE

- Step 1: brainstorming – 15 minutes.** The trainer starts brainstorming by posing two questions: (1) *“Are you ready to share your research data in an open data repository? Why yes or no?”* and (2) *“Are you ready to use open access data in your research? Why yes or no?”* and invite trainees to take a minute’s silence to think on it. Once the minute is up, invite everyone to share their views. Have a single person (trainer or one of trainees) who takes notes on a whiteboard. The main aim of brainstorming is just to listen to different views without criticism.
- Ask trainees to split in small groups (4-6 trainees in a group) and to choose a rapporteur - a group member who will report results of the small group discussion to the whole group.

Training Materials for Responsible Open Science

3. Distribute the handout (file “NS_U6A6 Handout”) to each group. Half of the groups receive Task 1 from the handout (“Sharing your own research data”), other groups get Task 2 from the handout (“Using open data created by other researchers”).
4. **Step 2:** small group discussions – **30 minutes**. Trainees discuss and fill in a table with concerns and possible solutions. Rapporteurs prepare to present the results to the whole group.
5. **Step 3:** reports from small group discussions – **30 minutes**. Depending on the number of the small groups, allocate a time slot for each group presentation (e.g., if there are 3 small groups, each group have 10 minutes for a presentation). Rapporteurs present the results of their group discussions.
6. **Step 3:** group discussion – **15 minutes**. The trainer moderates a reflective group discussion. Sample questions for reflective discussion are, e.g.:
 - *What are the most important concerns discouraging researchers to share their data for reuse and to use open data created by other researchers? What are possible solutions?*
 - *Are there any legitimate reasons not to share research data?*
 - *How to responsibly share and reuse data in natural sciences?*

PLANNING

Resources and equipment:

- Handout “Handout NS_U6A6” printed out for each small group
- Whiteboard for discussion notes
- Make space for the trainees to work in small groups

FURTHER READINGS

1. Zuiderwijk, A., Shinde, R., & Jeng, W. (2020). What drives and inhibits researchers to share and use open research data? A systematic literature review to analyze factors influencing open research data adoption. *PloS one*, 15(9), e0239283. <https://doi.org/10.1371/journal.pone.0239283>
2. McAllister, J. W. (2012). Climate science controversies and the demand for access to empirical data. *Philosophy of Science*, 79(5), 871-880. <https://doi.org/10.1086/667871>



Activity 6.1. Case discussion on concerns to share the data



DESCRIPTION

This activity is built around case discussion. Trainees are asked to discuss in small groups cases on scientists' concerns to share the data. Afterwards, small groups report to the whole group and continue with a reflective discussion involving the whole group.

Type of activity: case discussion

Target group: early career researchers, senior researchers

Learning outcomes:

	Learning outcomes <i>It is expected that trainees will:</i>	Indicators for their achievement <i>Trainees who have fully met the learning outcome are able to:</i>
	<ul style="list-style-type: none"> - be aware about factors influencing willingness to share and use open research data 	<ul style="list-style-type: none"> - discuss how to increase willingness to share and use open research data
	<ul style="list-style-type: none"> - apply critical thinking skills - questioning, comparing, summarizing, drawing conclusions, and defending - to case studies on ethics and integrity in OS 	<ul style="list-style-type: none"> - develop reflective questions to define ethical problems in the case study - discuss cases with colleagues - justify a personal position on the case

PROCEDURE

1. Depending on the size of the group and background of the trainees choose how many cases to discuss during the workshop. There are three cases included in the file "NS_U6A6.1 Handout".
2. Introduce the activity, its aim and, briefly, the procedure.
3. Ask trainees to split in small groups (4-5 trainees in a group) and to choose a rapporteur - a group member who will report results of the small group discussion to the whole group. Provide each group with a paper for taking notes.
4. Print out case description(s) and questions for discussion for each trainee (file "NS_U6A6.1 Handout").
5. **Step 1:** small group discussions – **30 minutes**. Trainees read the case description and discuss the questions in small groups. Each group takes notes. Rapporteurs prepare to present the results to the whole group.

Training Materials for Responsible Open Science

6. **Step 2:** reports from small group discussions – **40 minutes**. Depending on the number of the small groups, allocate a time slot for each group presentation (e.g., if there are 4 small groups, each group have 10 minutes for a presentation). Rapporteurs present the results of their group discussions.
7. **Step 3:** group discussion – **20 minutes**. The trainer moderates a reflective group discussion. The trainer writes the solutions suggested during the discussion on the whiteboard and summarises them. Sample questions for reflective discussion are, e.g.:
 - *What are the most important concerns discouraging researchers to share their data for reuse and to use open data created by other researchers? What are possible solutions?*
 - *Are there any legitimate reasons not to share research data?*
 - *How to responsibly share and reuse data in natural sciences?*

PLANNING

Resources and equipment:

- Handout “NS_U6A6.1 Handout”
- Paper for taking notes during small group discussions
- Whiteboard for discussion notes
- Make space for the trainees to work in small groups

FURTHER READINGS

1. Availability of Data. Nature portfolio. <https://www.nature.com/nature-portfolio/editorial-policies/reporting-standards#availability-of-data>
2. Gewin, V. (2016.) Data sharing: An open mind on open data. *Nature* 529. <https://doi.org/10.1038/nj7584-117a>
3. Data sharing and the future of science. *Nat Commun* 9, 2817 (2018). <https://doi.org/10.1038/s41467-018-05227-z>
4. Staunton, C., Barragán, C.A., Canali, S. *et al.* (2021.) “Open science, data sharing and solidarity: who benefits?” *HPLS* 43, 115 (2021). <https://doi.org/10.1007/s40656-021-00468-6>



Unit 7. Prevention of research malpractice in the context of OS

Activity 7. Violations of research integrity in OS and their prevention


The activity aims to discuss different types of violations of research integrity in OS and their prevention. The trainees are split into five groups and their task is to reflect on potential type of violation and preventive measure in each particular type of Open Science activity. Each group shares the results of their discussions, and group work is followed by plenary activity where all trainees have an opportunity to supplement the results of group work.

Type of activity: group work and plenary activity

Time: 90 minutes

Target group: students, early career researchers, senior researchers

Learning outcomes:

	Learning outcomes <i>It is expected that trainees will:</i>	Indicators for their achievement <i>Trainees who have fully met the learning outcome are able to:</i>
	<ul style="list-style-type: none"> - know potential types of research malpractice in OS 	<ul style="list-style-type: none"> - discuss causes of violations of research integrity in OS and ways of its prevention

PROCEDURE

1. Before to the exercise, print out the pages with different types of Open Science activities (file "NS_U7A7 Printout") and mark sections of a wall with the titles:
 - *Open access publishing*
 - *Sharing and using open data*
 - *Open reproducible research, e.g., open lab notes, reproducing of research studies*
 - *Open science evaluation, e.g., open metrics and impact, open peer review*
 - *Citizen science*
2. Ask participants to split in five groups. Assign one of the types of Open Science activities listed above to each group.
3. **Step 1:** group discussion – **25 minutes**. Each group discusses the following questions in the context of the particular type of Open Science activities:



Training Materials for Responsible Open Science

- *What potential violations of research integrity may arise in the context of this type of open science activities?*
- *How to prevent these potential violations?*

The results of the discussion should be written on paper cards/sticky notes – one potential type of violation and preventive measure on each card/sticky note and hanged on the wall under the respective type of Open Science activities.

4. **Step 2:** group work presentations and general discussion – **65 minutes**. Each group presents their results in 5 minutes. After each presentation there is 8 minutes general discussion where every trainee has an opportunity to suggest additional challenges and preventive measures. These additional challenges and preventive measures are written on paper cards/sticky notes and added to the respective type of Open Science activities.

PLANNING

Resources and equipment:

- Printout “NS_U7A7 Printout”
- Large wall or multiple pinboards to hang on printouts and results of discussions
- Empty cards & tape/sticky notes, pens/markers
- Make space for the trainees to work in small groups and to move around

FURTHER READINGS

1. Laine, H. (2017). Afraid of scooping: Case study on researcher strategies against fear of scooping in the context of open science. *Data Science Journal*.
<https://doi.org/10.5334/dsj-2017-029>



Activity 7.1. Violations of intellectual property rights

DESCRIPTION


This activity is built around case discussion. Trainees are asked to discuss in small groups a case on violations of intellectual property rights. Afterwards, small groups report to the whole group and continue with a reflective discussion involving the whole group.

Type of activity: case discussion

Time: 90 minutes

Target group: students, early career researchers, senior researchers

Learning outcomes:

	Learning outcomes <i>It is expected that trainees will:</i>	Indicators for their achievement <i>Trainees who have fully met the learning outcome are able to:</i>
	<ul style="list-style-type: none"> - know potential types of research malpractice in OS 	<ul style="list-style-type: none"> - discuss causes of violations of research integrity in OS and ways of its prevention - recognise limits of OS for protection of data and intellectual property rights

PROCEDURE

1. Print out the case description and questions for discussion for each trainee (file "NS_U7A7.1 Handout").
2. Introduce the activity, its aim and, briefly, the procedure.
3. Ask trainees to split in small groups (4-5 trainees in a group) and to choose a rapporteur - a group member who will report results of the small group discussion to the whole group. Provide each group with a paper for taking notes.
4. **Step 1:** small group discussions – **30 minutes**. Trainees read the case description and discuss the questions in small groups. Each group takes notes. Rapporteurs prepare to present the results to the whole group.
5. **Step 2:** reports from small group discussions – **30 minutes**. Depending on the number of the small groups, allocate a time slot for each group presentation (e.g., if there are 4 small groups, each group have 10 minutes for a presentation). Rapporteurs present the results of their group discussions.



Training Materials for Responsible Open Science

6. **Step 3:** group discussion – **30 minutes**. The trainer moderates a reflective group discussion. The trainer writes the solutions suggested during the discussion on the whiteboard and summarises them. Sample questions for reflective discussion are, e.g.:
 - *How important are intellectual property rights for scientific research and achievements?*
 - *Does the case address a relevant issue for you and researchers you are working together?*
 - *What are potential solutions at the policy level to the problem described in the case?*

PLANNING

Resources and equipment:

- Handout “NS_U7A7.1 Handout”
- Paper for taking notes during small group discussions
- Whiteboard for discussion notes
- Make space for the trainees to work in small groups

FURTHER READINGS

1. Monbiot, G. Scientific publishing is a rip-off. We fund the research - it should be free. *The Guardian*. 13.09. 2018.
<https://www.theguardian.com/commentisfree/2018/sep/13/scientific-publishing-rip-off-taxpayers-fund-research>. Accessed August 2, 2022.
2. Van Noorden, R. (2016). Alexandra Elbakyan: Paper pirate. *Nature*, 540, 512.
<https://www.nature.com/articles/540507a>
3. Vogel, G., & Kupferschmidt, K. (2017). A bold open-access push in Germany could change the future of academic publishing. *Science*, 23.
<https://www.science.org/content/article/bold-open-access-push-germany-could-change-future-academic-publishing>



Unit 8. Responsible dissemination/publication practices

Activity 8. Open access publishing and predatory practices



DESCRIPTION

This activity applies the Four Quadrant Method for case analysis on predatory practices. Trainees are asked to discuss a case in small groups and fill in the four quadrant template. Afterwards, small groups report to the whole group and continue with a casuistic reasoning and justification discussion involving the whole group.

Type of activity: case discussion (Four Quadrant Method)

Target group: students, early career researchers, senior researchers

Learning outcomes:

	Learning outcomes <i>It is expected that trainees will:</i>	Indicators for their achievement <i>Trainees who have fully met the learning outcome are able to:</i>
	<ul style="list-style-type: none"> know criteria for good practice standards in open access publishing 	<ul style="list-style-type: none"> critically assess scientific results published in open access and identify predatory publishing practices
	<ul style="list-style-type: none"> apply critical thinking skills - questioning, comparing, summarizing, drawing conclusions, and defending - to case studies on ethics and integrity in OS 	<ul style="list-style-type: none"> develop reflective questions to define ethical problems in the case study discuss cases with colleagues justify a personal position on the case

PROCEDURE

1. Introduce the activity, its aim and, briefly, the procedure of the Four Quadrant Method².

² Detailed description of the modified Four Quadrant Method for case analysis is provided by the EnTIRE project: Armond A.C. et al. (2019). D.5.3 Delivery of the entire set of case deliberation methods and case analyses as input for the platform, pp. 98-102. Available: <https://ec.europa.eu/research/participants/documents/downloadPublic?documentIds=080166e5c3a7e938&appld=PPGMS>

2. Print out the case description (file “NS_U8A8 Handout”) for each trainee.
3. Ask trainees to split in small groups (3-4 trainees in a group) and to choose a rapporteur - a group member who will report results of the small group discussion to the whole group.
4. **Step 1.** Initial perception – **20 minutes.** Trainees read the case and in small groups discuss some general questions to identify relevant aspects of the case:
 - *What are the ethical issues at stake in this case?*
 - *Who are the stakeholders?*
 - *How should stakeholders react to this case?*
 - *What should/can stakeholders do to prevent such cases?*
5. **Step 2.** The Four Quadrant Analysis – **20 minutes.** Each group fills in the four quadrant table included in the file “NS_U8A8 Handout”.

I. Relevant Facts: What are the most relevant facts concerning the situation?	II. Uncertainties: Which features of the situation are uncertain, lacking in clarity, or controversial?
III. Courses of Action: What are the practically available options for providing a solution to the case (how to react to the case and how to prevent such cases in the future)?	IV. Contextual Features: What legal, financial and institutional policies and regulations apply to the case?

6. **Step 3.** Reports from small groups – **20 minutes.** The small groups report the results of the Four Quadrant Analysis to the whole group.
7. **Step 4.** Casuistic Reasoning and Justification – **30 minutes.** The trainer moderates the whole group discussion on the following questions:
 - *What is at issue? What is the major ethical issue at the case?*
 - *Do you know other cases like this one?*
 - *Why do academics publish their research in a predatory journal or books published by predatory publishers? What are the main factors that motivate such a practice? What are negative consequences of such a practice? What policies might minimise predatory publishing practices?*
 - *How should stakeholders react to cases like this?*



PLANNING

Resources and equipment:

- Handout "NS_U8A8 Handout"
- Make space for the trainees to work in small groups

FURTHER READINGS

1. Kurt, S. (2018). Why do authors publish in predatory journals?. *Learned Publishing*, 31(2), 141-147. doi: [10.1002/leap.1150](https://doi.org/10.1002/leap.1150)

